



# Chandrakala Broking Services Pvt. Ltd.

*Ensuring Trustworthy Services*

MEMBER : BSE, NSE, CDSL LIMITED

## **FORM FOR DEMAT & TRADING ACCOUNT OPENING INDIVIDUAL**

Form No. \_\_\_\_\_

Date .....

BRANCH	:	_____
CLIENT CODE	:	_____
CLIENT DEMAT A/C No.	:	_____
CLIENT NAME	:	_____

Sebi Registration Number : INZ000240039 (BSE,NSE) , IN-DP-577-2021 (CDSL)

Registered Office : Choraria Chowk, New Lane, Gangashahar-334 401, Bikaner, Rajasthan

Telephone : 0151-2271692, 2270146

E-mail : [info@chandrakalabroking.com](mailto:info@chandrakalabroking.com)

Investor Grievance : [investorgrievance@chandrakalabroking.com](mailto:investorgrievance@chandrakalabroking.com)

Head Office : Choraria Chowk, New Lane, Gangashahar-334 401, Bikaner, Rajasthan  
0151-2271692, 2270146

## CHECKLIST & KEY NOTES FOR ACCOUNT OPENING

Sr. No.	Category	Document Type	Mode of Account Opening			
1	Individual		Physical		Online	
			No. of Copies	Remarks	No. of Photo	Remarks
		PAN	2	Self Attested	1	Clear Original's Photo
		Aadhar	2	Self Attested	1	Clear Original's Photo
		Bank Statement / Passbook (6 Months Latest Transaction.)	2	Self Attested	1	Clear Original's Photo
		Total Cheque (1 AO Charge + 2 Cancel Cheque)	3	Two Original Signed Cancelled Cheque	1	Clear One Original Signed Cancelled Cheque Photo
		Photos	4	Self Attested	1	Clear Client selfie
		Nominee Doc. (PAN / Aadhar)	2	Self Attested	1	Clear Signed Nomination form (Physical & Photo) & Original PAN/Aadhar (Physical & Photo)
		POA	2	BSE & NSE	2	BSE & NSE (Physical & Photo)

Sr. No.	Category	Document Type	Mode of Account Opening			
2	HUF		Physical			
			Karta Docs.		Wife Docs.	
			No. of Copies	Remarks	No. of Copies	Remarks
		PAN (HUF)	2	Self Attested with HUF Stamp		
		PAN (Individual)	2	Self Attested	2	Self Attested
		Aadhar	2	Self Attested	2	Self Attested
		HUF Bank Statement / Passbook (6 Months Latest Transaction)	2	Self Attested with HUF Stamp		
		Total Cheque (1 AO Charge + 2 Cancel Cheque)	3	Two Original Signed Cancelled Cheque		
		Photo	5		2	
		POA	2	BSE & NSE		

**Note : KYC & CKYC For Karta is Compulsory and HUF stamp Should not be affixed on KYC & CKYC.**

Sr. No.	Category	Document Type	Mode of Account Opening			
3	Minor		Minor Docs.		Guardian Docs.	
			No. of Copies	Remarks	No. of Photo	Remarks
		PAN	1	Self Attested with Guardian Sign. & Stamp	1	Self Attested
		Aadhar	1	Self Attested with Guardian Sign. & Stamp	1	Self Attested
		Birth Certificate / 10th Marksheet	1	Self Attested with Guardian Sign. & Stamp		
		Minor Bank Statement / Passbook	1	Two Original Signed Cancelled Cheque		
		Total Cheque (1 AO Charges + 1 Cancel Cheque)	2	One Original Signed Cancelled Cheque		
		Photo	2		2	
		Nominee Doc. & Relation	1	Self Attested Aadhar / PAN		

**Note : KYC & CKYC For Guardian is Compulsory // Minor Seal should not be affixed on any of the Guardian's doc // Minor seal should be on every page of account opening form wherever signature being done // Guardian appointed can not be Nominee for Minor.**

Seal format for Minor	On Behalf of Minor Name
	Guardian

### Key Compulsory Points to be adhered to for all Categories

Mobile No.	
E-mail ID	
Common with	
Mother's Name	
Witness Sign. & Detail	

Plan Selected			
Basic		Savings	
Scheme 1		Scheme 2	
		Scheme 3	
Scheme 4			

Total Sign on pages = .....

## WELCOME TO CHANDRAKALA BROKING SERVICES PVT. LTD.

To,

Mr./Mrs./M/s \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

We, at Chandrakala Broking Services Pvt. Ltd., take pleasure in welcoming you and thank you for opening account with us and giving us the opportunity to serve you.

For your information, your account code for BSE NSE Cash & F&O is as under :

CODE NO.		INTRODUCER	
-------------	--	------------	--

Assuring you the best of our services always.

For : Chandrakala Broking Services Pvt. Ltd.

Manager - Customer Relations

Name : \_\_\_\_\_



## ACCOUNT OPENING KIT

### INDEX OF DOCUMENTS


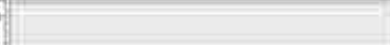
S. No.	Name of Document	Brief Significance of the Documents	Page No.
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1.	Account Opening Form	A. KYC form - Document captures the basic information about the constituent for and an instruction / check list. B. Document captures the additional information about the constituent relevant to Trading Account / Demat Account and instruction / check list.	1-9
2.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Anx. 1
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	Anx. 1
4.	Right and Obligations	Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories.	Anx. 1
5.	Guidance note	Document detailing do's and don'ts for trading on exchange(s), for the education of the investors.	Anx. 1
6.	Terms & Conditions	Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL. Instructions to the Applicants (Bos) for account opening. Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL. Details Of Terms & Condition For The Investor / Client For Using Facility Of BSE Star MF.	10-14
7.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	15-17
8.	Tariff sheet & DP Service Charges	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s) & Demat Account to be added by the stock broker.	18-19
<b>VOLUNTARY</b>			
9.	Declaration for availing / not availing of Basic Services Demat Account (BSDA) Facility & BSE Star MF Client Registration Form		20-21
10.	Running Account Authorisation		22
11.	Authorisation for Electronic Contract Note		23
12.	Letter of Authority & Internet Trading Letter		24-25
13.	Declaration for Mobile No. & Client Defaulter Declaration		26
14.	CKYC		27-30
15.	FATCA		31-32
16.	Nomination		33-34
17.	MITC, Bse Power Of Attorney & Declaration By Karta And All Co-parceners In Huf Account		35-37
18.			
19.			

Name of Stock Broker : Chandrakala Broking Services Pvt. Ltd.  
 SEBI Reg. No. : INZ000240039 (BSE - NSE) ; IN-DP-577-2021 (CDSL)  
 Registered Office Address : Choraria Chowk, New Lane, Gangashahar, 334 401, Bikaner, Rajasthan  
 Telephone : 0151-2271692, 2270146  
 Website : www.chandrakalabroking.com  
 Correspondence Office Address : Choraria Chowk, New Lane, Gangashahar, 334 401, Bikaner, Rajasthan  
 Compliance Officer : Sumati Kumar Surana, 09351200519, suranasumati@yahoo.co.in  
 Chairman : Smt. Sonali Surana

For any grievance / dispute please contact **CHANDRAKALA BROKING SERVICES PVT. LTD.** at the above address or e-mail id [investorgrievance@chandrakalabroking.com](mailto:investorgrievance@chandrakalabroking.com) and phone no. 0151-2271692 In case not satisfied with the response, please contact the BSE at [is@bseindia.com](mailto:is@bseindia.com) and Phone No. 91-022-22728097.



Signature of Client

	IN PERSON VERIFICATION
Trading Member Name	CHANDRAKALA BROKING SERVICES PVT. LTD.
SEBI Regn. No.	INZ000240039 (BSE,NSE) , IN-DP-577-2021 (CDSL)
Name of Client	
Signature of Client	 
Name of Official	
Stamp and Signature	
Date and Place	

## ACKNOWLEDGEMENT

Member : BSE, NSE  
SEBI Reg. No. : INZ000240039(BSE,NSE),IN-DP-577-2021 CDSL

To,  
Manager,  
Chandrakala Broking Services Pvt. Ltd.  
Regd. Off.: Choraria Chowk, New Lane, Gangashahar 334 401 Bikaner, Rajasthan.

Dear Sir/Madam

### CONSENT FOR THE RECEIPT OF THE RIGHTS & OBLIGATIONS, RDD & THE GUIDANCE NOTE.

I/We hereby give my / our consent to provide me/us the following documents in physical/electronic mode.

- Rights & Obligations of stock broker**, sub-broker/authorized person and client for trading on exchanges, including **Additional Right & Obligations in case of internet/Wireless Technology based Trading** along with **Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and depositories ; (Annexure 1)**
- Risk Disclosure Document for Capital Market and Derivatives segment ; (Annexure 1)**
- Guidance Note detailing Do's and Don'ts for trading on stock exchanges ; (Annexure 1)**

I/We acknowledge and declare that I/We have received, read and understood, acknowledged and agreed to the content of above mentioned document.

Further, I/We have also been informed that the standard set of mandatory documents is displayed for information on the stock broker's designated website, i.e. [www.chandrakalabroking.com](http://www.chandrakalabroking.com)

Place :

Date :



**Know Your Client (KYC)  
Application Form (For Individuals Only)**

Please fill in ENGLISH and in BLOCK LETTERS with black ink.



Application No. \_\_\_\_\_

**A. Identity Details (Please see guideline overleaf.)**

1. Name of Applicant (As appearing in supporting identification document)

Name \_\_\_\_\_

Father's/Spouse Name \_\_\_\_\_

2. Gender Male Female B. Marital Status Single Married C. Date of Birth DD/MM/YYYY

3. Nationality Indian Other \_\_\_\_\_

4. Status Please tick (✓) Resident Individual Non-Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN \_\_\_\_\_ Please enclose a duly attested copy of your PAN Card

Unique Identification Number (UID)/Aadhar, if any \_\_\_\_\_

6. Proof of Identity submitted for PAN exempt cases Please tick (✓)

UID (Aadhar) Passport Voter ID Driving Licence Others \_\_\_\_\_

(Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it

**B. Address Details (Please see guidelines overleaf)**

1. Address of Correspondence

\_\_\_\_\_

\_\_\_\_\_

City/Town/Village \_\_\_\_\_ Postal Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

2. Contact Details

Tel (Cm) \_\_\_\_\_ Tel (Res) \_\_\_\_\_

Mobile \_\_\_\_\_ Fax \_\_\_\_\_

E-mail ID \_\_\_\_\_

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid document & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Identity Card \* Latest Bank A/c Statement/Passbook

\* Latest Telephone Bill (Only Land Line) \* Latest Electricity Bill \* Latest Gas Bill Others \_\_\_\_\_

\* Not more than 3 months old. Validity/Expiry date of proof of address submitted DD/MM/YYYY

4. Permanent Address of Resident Applicant if different from above B1 or Overseas Address (Mandatory) for Non-Resident Applicant

\_\_\_\_\_

\_\_\_\_\_

City/Town/Village \_\_\_\_\_ Postal Code \_\_\_\_\_

State \_\_\_\_\_ Country \_\_\_\_\_

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid document & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving Licence Voter Identity Card \* Latest Bank A/c Statement/Passbook

\* Latest Telephone Bill (Only Land Line) \* Latest Electricity Bill \* Latest Gas Bill Others \_\_\_\_\_

\* Not more than 3 months old. Validity/Expiry date of proof of address submitted DD/MM/YYYY

**C. Other Details (Please see guidelines overleaf)**

1. Gross Annual Income Details Please tick (✓) Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac > 25 Lac

OR

Net-Worth in ₹ \_\_\_\_\_ as on (date) DD/MM/YYYY

2. Occupation (Please tick (✓) any one and give brief details)

Private Sector Service Public Sector Government Service Business Professional Agriculturist Retired Housewife Student

Forex Dealer Others (Please specify) \_\_\_\_\_

3. Please tick, if applicable Politically Exposed Person Related to a Politically Exposed Person

For definition of PEP, please refer guideline overleaf.

4. Any other information \_\_\_\_\_

**DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/We are aware that I/We may be held liable for it.

Place \_\_\_\_\_ Date \_\_\_\_\_

**SIGNATURE OF APPLICANT**

\_\_\_\_\_

**FOR OFFICE USE ONLY**

IPV Done on DD/MM/YYYY

(Originals verified) self Certified Document copies received  
(Attested) True copies of documents received  
Main Intermediary

Seal / Stamp of the intermediary should contain  
Staff name  
Designation  
Name of the Organisation  
Signature  
Date

Seal / Stamp of the intermediary should contain  
Staff name  
Designation  
Name of the Organisation  
Signature  
Date



## INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities Authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, Then Proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional, and in the absence of DIN no. For the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI): List of documents admissible as Proof of Identity

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments; Statutory/Regulatory Authorities; Public Sector Undertakings; Scheduled Commercial Banks; Public Financial Institutions; Colleges affiliated to Universities; Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card / Ration Card / Registered Lease or Sale Agreement of Residence/Driving License / Flat Maintenance bill/Insurance Copy

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public /Elected representatives to the Legislative Assembly/Parliament /Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc. to their Members.
7. For FI/sub account, Power of Attorney given by FI/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. Exemptions/clarifications to PAN

(\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:


1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.



Additional KYC Form for Opening a Demat Account																	
For Individuals / Non Individuals																	
Chandrakala Broking Services Pvt. Ltd., Choraria Chowk, New Lane, Gangashahar, Bikaner																	
DP ID :: 12092300																	
(To be filled by the Depository Participant)																	
Application No.				Date				<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>									
DP Internal Reference No.																	
DP ID	1	2	0	9	2	3	0	0	Client ID								
(To be filled by the applicant in <b>BLOCK LETTERS</b> in English)																	
I/We request you to open a demat account in my/ our name as per following details:-																	
<b>Holders Details</b>																	
Sole / First Holder's Name				PAN													
				UID													
Second Holder's Name				PAN													
				UID													
Third Holder's Name				PAN													
				UID													
<b>Name *</b>																	
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.																	
<b>Type of Account (Please tick whichever is applicable)</b>																	
<b>Status</b>				<b>Sub – Status</b>													
<input type="checkbox"/> Individual     <input type="checkbox"/> NRI    <input type="checkbox"/> Foreign National				<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)													
				<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____													
				<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts													
				<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____													
				<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____													
<b>Type of Account (Please tick whichever is applicable)</b>																	
<b>Status</b>														<b>Sub – Status</b>			
<input type="checkbox"/> Body Corporate <input type="checkbox"/> CM <input type="checkbox"/> Banks <input type="checkbox"/> FI <input type="checkbox"/> Trust <input type="checkbox"/> Clearing House <input type="checkbox"/> Mutual Fund <input type="checkbox"/> Other (Specify) _____														<input type="checkbox"/> OCB <input type="checkbox"/> FII <b>To be filled by the DP</b>			
SEBI Registration No. (If Applicable)								SEBI Registration date									
RBI Registration No. (If Applicable)								RBI Approval date									
Nationality				<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____													
<b>Details of Guardian (in case the account holder is minor)</b>																	
Guardian's Name														PAN			
Relationship with the applicant																	
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')														[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No			
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')														<input type="checkbox"/> Yes <input type="checkbox"/> No			
Account Statement Requirement				<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly													
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID														<input type="checkbox"/> Yes <input type="checkbox"/> No			
I / We would like to share the email ID with the RTA														<input type="checkbox"/> Yes <input type="checkbox"/> No			
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)														<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic			

Clearing Member Details (To be filled by CMs only)									
Name of Stock Exchange									
Name of CC / CH									
Clearing Member Id						Trading member ID			
I / We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time.]						<input type="checkbox"/> Yes <input type="checkbox"/> No			
<b>I/ We</b> wish to receive dividend / interest directly in to <b>my</b> bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time.]						<input type="checkbox"/> Yes <input type="checkbox"/> No			
Bank Details [Dividend Bank Details]									
Bank Code (9 digit MICR code)									
IFS Code (11 character)									
Account number									
Account type		<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____							
Bank Name									
Branch Name									
Bank Branch Address									
City		State		Country		PIN code			
(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank. ➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.									
Other Details		Income Range per annum:							
Gross Annual Income Details		<input type="checkbox"/> Up to Rs.1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs 5,00,000 <input type="checkbox"/> Rs 5,00,000 to ₹ 10,00,000 <input type="checkbox"/> Rs 10,00,000 to Rs 25,00,000 <input type="checkbox"/> More than Rs 25,00,000							
		Net worth as on (Date)		D D M M Y Y Y Y Rs [Net worth should not be older than 1 year]					
Occupation		<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____							
Please tick, if applicable:		<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)							
Any other information:									
SMS Alert Facility		Mobile No. +91 _____							
Refer to Terms & Conditions given as <b>Annexure-2.4</b>		[(Mandatory, if you are giving Power of Attorney (POA)) (If POA is not granted & you do not wish to avail of this facility, cancel this Option).							
Transaction Using Secured Texting Facility (TRUST), Refer to Terms and Conditions Annexure-2.6		I wish to avail the TRUST facility the mobile number registered for SMS Alert Facility. I have read and understood the Terms & Conditions prescribed by CDSL for the same. <input type="checkbox"/> YES <input type="checkbox"/> NO I wish to register the following clearing member Ids under my/our below mentioned BO ID registered for TRUST.							
		Stock Exchange Name / ID		Clearing Member Name		Clearing Member ID (Optional)			
Easi		To/We register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.							

I / We have received and read the document of 'Right and Obligation of BO-DIP' (DP-CM agreement for BSE Clearing Member Accounts) Including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time.  
 I / We declare that the particulars given by me / us above are true and to the best of my / our knowledge as on the date of making this application.  
 I / We further agree that any false / misleading information given by me us or suppression of any material information will render my account liable for termination and suitable action.

	Sole/First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			

#### Details of Politically Exposed Persons (PEP)/Related to Politically Exposed Person (RPEP). [ For-non-individual]

Name of holder \_\_\_\_\_ PAN of the holder \_\_\_\_\_

Sr.No	Name of the Authorized signatories /Promoters /Partners / Karta/ Trustees /Whole Time Directors	Relation with the holder (i.e. promoters, whole time directors etc	Please tick the relevant option.
			<input type="checkbox"/> PEP
			<input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP
			<input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP
			<input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP
			<input type="checkbox"/> RPEP

Name & Signature of the Authorised Signatories Date \_\_\_\_/\_\_\_\_/\_\_\_\_ PEP: Politically Exposed Person

RPEP: Related to politically Exposed Person

(In case of more authorised signatories, please add annexure)



Signature of Client

===== (Please Tear Here) =====

#### Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

**OPTION FORM FOR ISSUE OF DIS BOOKLET**Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

DP ID	1	2	0	9	2	3	0	0	Client ID								
First Holder Name																	
Second Holder Name																	
Third Holder Name																	

To,  
**CHANDRAKALA BROKING SERVICES PVT. LTD.**  
**CHORARIA CHOWK, NEW LANE, GANGASHAHAR, BIKANER. (RAJ.)**


Dear Sir/Madam,

I / We hereby state that : [Select one of the option given below.]

☒ **OPTION 1 :**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my/our CDSL account thought I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with \_\_\_\_\_ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such clearing Member / by PMS manager.


Yours faithfully,

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

**OR**☐ **OPTION 2 :**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with \_\_\_\_\_ (name of the attorney / Clearing Member / PMS Manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

===== (Please Tear Here) =====

**Acknowledgement Receipt**

Received OPTION FORM FOR ISSUE / NON ISSUE OF DIS BOOKLET from :

DP ID	1	2	0	9	2	3	0	0	Client ID								
Name of the Sole / First Holder																	
Name of Second joint Holder																	
Name of Third joint Holder																	

**Depository Participant Seal and Signature**

## (via which transactions will be routed)

A. BANK DETAILS (for DP &amp; Trading)

<b>Bank Code</b> (9-Digit MICR Code)																									
<b>IFS Code</b> (11 Character)																									
<b>Account No.</b>																									
<b>Account Type</b>	<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____																								
<b>Bank Name</b>																									
<b>Branch Name</b>																									
<b>Bank Branch Address</b>																									
<b>City</b>							<b>State</b>								<b>Country</b>				<b>PIN Code</b>						

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)  
(ii) Photocopy of the Bank Statement having name & address of the BO  
(iii) Photocopy of the Passbook having name & address of the BO  
(iv) Letter from Bank  
> In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

WMA already have Demut. A/c ☐ Yes ☐ No

Depository Participant ☐ CDSL ☐ NSDL

Depository Participant Name

Beneficiary Name

DP ID No. Beneficiary ID

\* Demat Master or recent holding statement issue by DP bearing name of the client should be submitted.

Please sign in the relevant boxes where you wish to trade. Please strike segment not chosen by you.

Exchanges	NSE, BSE				MCX
All Segments	Cash/ Mutual Fund	F&O	Currency	Debt	Commodity Derivatives
<input type="checkbox"/> (4/29)	<input type="checkbox"/> (5/29)	<input type="checkbox"/> (6/29)	<input type="checkbox"/> (7/29)	<input type="checkbox"/> (8/29)	<input type="checkbox"/> (9/29)

If you do not wish to trade in any of segments / Mutual Fund, please mention here \_\_\_\_\_

If, in future you want to trade on any new segment/new exchange, separate authorization/letter will be taken.

**\*\*Please submit only one of the following in case of trading in F & O and Currency Derivatives.**

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months.

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years.

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name	SEBI Registration number		
Registered office address	Pin	Fax	Website

Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers /sub brokers, provide details of all)

Name of stock broker	Name of Sub-Broker, if any
Client Code	Exchange

Details of demands/dues/claims from/to such stock broker/sub-broker

☐ Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) : .....  
 Specify your Email Id, if applicable : .....  
☐ Whether you wish to avail of the facility of internet trading/ wireless technology (please specify) : .....  
☐ Number of years of Investment/Trading Experience : .....  
☐ In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others : .....  
☐ Any other information : .....

### G. INTRODUCER DETAILS (optional)

Name of the Introducer ..... (Surname) (Name) (Middle Name)

Status of the Introducer : Sub-broker/Remisier/Authorized Person/Existing Client/Others, Please specify \_\_\_\_\_

Address and phone no. of the introducer

Signature of the Introducer \_\_\_\_\_

#### H. NOMINATION DETAILS (for Individuals only)

I/We with to nominee

I/We do not wish to nominate

<p><b>Name of the Nominee</b></p>	<p><b>Relationship with the Nominee</b></p>
-----------------------------------	---

PAN of Nominee \* ..... Date of Birth of Nominee \* .....

Address and Phone no. of the Nominee :

**If Nominee is a minor, details of guardian :**

Name of guardian .....

Address and phone no. of the guardian \_\_\_\_\_

Signature of guardian \_\_\_\_\_

**WITNESS** (Only applicable in case the account holder has made nomination)

Name .....

Signature \_\_\_\_\_

Address .....

Name: \_\_\_\_\_

Signature \_\_\_\_\_

Address .....

## DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read / been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the "Right and Obligations" document (s) and "Risk Disclosure Document". I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any.

**Place :**

Date:





**FOR OFFICE USE ONLY**

UCC Code allotted to the Client : .....

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I/We undertake that we have made the client aware of "Policy and Procedure", tariff sheet and all the non-mandatory document. I/we have also made the client aware of "Right and Obligation" document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the "Policy and Procedures", tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the "Right and Obligations" and RDD would be made available on my/our website, if any, for the information of the clients'

Signature of the Authorised Signatory

Date .....

Seal / Stamp of the Stock Broker

**INSTRUCTIONS/ CHECK LIST**

1. Additional documents in case of trading in derivatives segments - illustrative list :

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

\* In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf / pass book / bank statement specifying name of the constituent, MICR code or / and IFSC Code of the bank should be submitted.

3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For individuals :

- Stock broker has an option of doing "in-person" verification through web camera at the branch office of the stock broker / sub-broker's office.
- In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out "In-person" verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For non-individuals :

- Form need to be initialized by all the authorized signatories.
- Copy of Board Resolution or declaration (on the letterhead) naming the person authorized to deal in securities on behalf of company/firm/others and their specimen signatures.



Signature of Client

## **Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL**

### **1. Definitions :**

In these Terms & Conditions the terms shall have following meaning unless indicated otherwise :

- i. "Depository" means Central Depository Service (India) Limited (CDSL)
- ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
- iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
- iv. "Service" means the service of providing facility to received / give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
- v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.

2. The service will be provided to the BO at his/her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository.

3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the Bos. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.

4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive message generated under-TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.

5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP/CDSL will not be held liable for acting on SMS so received.

6. The BO shall be responsible for submitting response to the "Responsive SMS" within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for Bos not submitting the response to the said SMS within the time limit prescribed by CDSL.

7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.

8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.

9. Bos are advised to check the status of their obligation from time to time and also advise the respective Cms to do so. In case of any issues, the BO/CM should approach their Dps to ensure that the obligation is fulfilled through any other mode of delivery of transaction as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.

10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit. CDSL-DP Operating Instructions-September 2013 page 2 of 2.

11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.

12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.

13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.

15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.

16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was originated by him.

17. Indemnity : In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damage, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case :-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.



Signature of Client

### **Instructions to the Applicants (Bos) for account opening:**

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impression and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his / her official seal.
2. Signatures should be preferably in black ink.
3. Details of the Names, Address, Telephone Number (s) etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
4. In case of additional signatures (for accounts other than individuals), separate annexure should be attached to the account opening form.
5. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever option, in the account opening form, is not applicable.

***(Signatures should be preferably in black ink.)***



Signature of Client



## Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

### [SMS Alerts will be sent by CDSL to BOs for all debits]

#### Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. "BO" means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by this BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in "ON" and in a mode to receive the SMS. If the mobile phone is in "Off" mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four (4) times at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable).

BOID: 

1	2	0	9	2	3	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

  
(Please write your 8 digit DPID) (Please write your 8 digit Client ID)

Sole / First Holder's Name : \_\_\_\_\_

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile Number on which messages are to be sent: 

+91																	
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

  
(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of: \_\_\_\_\_

Email ID: \_\_\_\_\_  
(Please write only ONE valid email ID on which communication, if any, is to be sent)



Signatures: 

Sole / First Holder	Second holder	Third Holder

Place: \_\_\_\_\_ Date: \_\_\_\_\_

## Details Of Terms & Condition For The Investor / Client For Using Facility Of BSE Star MF.

1. Pre-requisites for becoming Investor / Client for the New BSE Star MF facility.
  - 1.1 The client who is desirous of investing in units of mutual fund schemes through the BSE Star MF.
  - 1.2 The Client intends to execute his instruction for the subscription / redemption of units of Mutual Fund Schemes through Chandrakala Broking Services Pvt. Ltd. of the BSE Star MF.
  - 1.3 The client has satisfied itself of the capacity of the Chandrakala Broking Services Pvt. Ltd. to deal in Mutual Fund units and wishes to execute its instruction through the Chandrakala Broking Services Pvt. Ltd. and the client shall from time to time continue to satisfy itself of such capability of the Chandrakala Broking Services Pvt. Ltd. before executing transactions through Chandrakala Broking Services Pvt. Ltd.
  - 1.4 The Client has approached to Chandrakala Broking Services Pvt. Ltd. with the application for availing the BSE Star MF facility.
  - 1.5 The client has submitted relevant KYC (Know Your Client) details to the Chandrakala Broking Services Pvt. Ltd.
2. Terms & Conditions
  - 2.1 The client shall be bound by circulars issued by BSE, Rules Regulations and circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
  - 2.2 The client shall notify the Chandrakala Broking Services Pvt. Ltd. in writing if there is any change in the information in the 'Client Registration Form' provided by the client to the Chandrakala Broking Services Pvt. Ltd. at the time registering as a client for participating in the BSE Star MF or at anytime thereafter.
  - 2.3 The client shall submit to the Chandrakala Broking Services Pvt. Ltd. a completed application form in the manner prescribed format for the purpose of placing a subscription order with the Chandrakala Broking Services Pvt. Ltd.
  - 2.4 The client has read and understood the risks involved in investing in Mutual Fund Schemes.
  - 2.5 The client shall be wholly responsible for all his investment decision and instruction..
  - 2.6 The client shall ensure continuous compliance with the requirement of the SEBI and AMFI.
  - 2.7 The client shall pay to the Chandrakala Broking Services Pvt. Ltd. fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Chandrakala Broking Services Pvt. Ltd. renders to the Client.
  - 2.8 The client will furnish information to the Chandrakala Broking Services Pvt. Ltd. in writing if any winding up petition or insolvency petition has been filed or any winding up or insolvency order to decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
  - 2.9 In the event of non-performance of the obligation by Chandrakala Broking Services Pvt. Ltd., the client is not entitled to claim any compensation either from Chandrakala Broking Services Pvt. Ltd. or the Investor Protection Fund or from any fund of BSE or its clearing Corporation - Indian Clearing Corporation Ltd. (ICCL)
  - 2.10 In case of any dispute between the Chandrakala Broking Services Pvt. Ltd. and the investors arising out of the BSE Star MF facility, BSE and / or ICCL agrees to extend the necessary support for the speedy redressal of the disputes.



Signature of Client



## POLICIES AND PROCEDURES

**A) Policy for Penny Stock :** A stock that trades at a relatively low price and market capitalization. These types of stocks are generally considered to be highly speculative and high risk because of their lack of liquidity, large-bid ask spreads, small capitalization and limited following and disclosure. Depend on the market condition and RMS policy of the company RMS reserve the right to refuse to provide the limit in Penny stocks and losses if any on account of such refusal shall be borne by client only.

**B) Setting up client's exposure limits :** The stock broker may from time to time impose and vary limits on the orders that the client can place through the stock broker's trading system (including exposure limits, turnover limits, limits as to the number, value and/or kind of securities in respect of which orders can be placed etc.) The client is aware and agrees that the stock broker may need to vary or reduce the limits or impose new limits urgently on the basis of the stock broker's risk perception and other factors considered relevant by the stock broker including but not limited to limits on account of exchange/SEBI directions/limits (such as broker level/market level limits in security specific/volume specific exposures etc.) and the stock broker may be unable to inform the client of such variation reduction or imposition in advance. The client agrees that the stock broker shall not be responsible for such variation, reduction or imposition or the client's inability to route any order through the stock broker's trading system on account of any such variation, reduction or imposition of limits. The client further agrees that the stock broker may at any time, at its sole discretion and without prior notice, prohibit or restrict the client's ability to place orders or trade in securities through the stock broker, or it may subject any order placed by the client to a review before its entry into the trading system and may refuse to execute/allow execution of orders due to but not limited to the reason of lack of margin/securities or the order being outside the limits set by stock broker/exchange/SEBI and any other reasons which the stock broker may deem appropriate in the circumstances. The client agrees that the losses, if any on account of such refusal or due to delay caused by such review, shall be borne exclusively by the client alone. We have margin based RMS system. Total deposits of the clients are uploaded in the system and client may take exposure on the basis of margin applicable for respective security as per VAR based margining system of the stock exchange and/or margin defined by RMS based on their risk perception. Client may take benefit or "Credit for sale" i.e. benefit of share held as margin by selling the same by selecting Delivery option through order entry window on the trading platform, the value of share sold will be added with the value of deposit and on the basis of that client may take fresh exposure.

In case of exposure taken on the basis of shares margin the payment is required to be made before the exchange pay in date otherwise it will be liable to square off after the pay in time or any time due to shortage of margin.

**c) Applicable brokerage rate :** Brokerage will be charged within the limits prescribed by SEBI/Exchange.

**d) Imposition of penalty / delayed payment charges :** Client will be liable to pay late pay in/delayed payment charges for non making payment of their payin/margin obligation on time as per the exchange requirement/schedule at the rate upto 2% per month.

The client agrees that the stock broker may impose fines/penalties for any orders/ trade / deals / actions of the client which are contrary to this agreement / rules regulations / bye laws of the exchange or any other law for the time being in force, at such rates and in such form as it may deem fit. Further where the stock broker has to pay any fine or bear any punishment from any authority in connection with / as a consequence of / in relation to any of the orders / trades/ deals / actions of the client, the same shall be borne by the client.

**e) The right to sell client's securities or close clients' positions, without giving notice to the client, on account of non-payment of client's dues :** without prejudice to the stock brokers other right (including the right to refer the matter to arbitration), the stock broker shall be entitled to liquidate / close out all or any of the clients position without giving notice to the client for non payment of margins or other amounts including the pay in obligation, outstanding debts etc and adjustment the proceeds of such liquidation / close out, if any, against the clients liabilities/obligations. The client shall ensure timely availability of funds/securities in form and manner at designated time and in designated bank and depository account(s), for meeting his/her/its pay in obligation of funds and securities. Any and all losses and financial charges on account of such liquidations / closing out shall be charged to & born by the client. In cases of securities lying in margin account/client beneficiary account and having corporate actions like Bonus, Stock split, Right issue etc, for margin or other purpose the benefit or shares due to received under Bonus, Stock split, Right issue etc. will be given when the shares is actually received in the stock broker designated demat account. In case the payment of the margin / security / is made by the client through a bank instrument, the stock broker shall be at liberty to give the benefit / credit for the same only on the realization of the funds from the said bank instrument etc, at the absolute discretion of the stock broker. Where the margin / security is made available by way of securities or any other property, the stock broker is empowered to decline its acceptance as margin / security & or to accept it at such

reduced value as the stock broker may deem fit by applying haircuts or by valuing it by marking it to market or by any other method as the stock broker may deem fit in its absolute discretion. The stock broker has the right but not the obligation, to cancel all pending orders and to sell/close/liquidate all open positions/securities/shares at the pre-defined squares off time or when mark to market (M-T-M) percentage reaches or crosses stipulated margin percentage, whichever is earlier. The stock broker will have sole discretion to decide referred stipulated margin percentage depending upon the market condition. In the event of such square off, the client agrees to bear all the losses based on actual executed prices, the client shall also be solely liable for all and any penalties and charges levied by the exchange(s).

- f) **Shortage in obligations arising out of internal netting of trades** : Stock broker shall not be obliged to deliver any securities or pay any money to the client unless and until the same has been received by the stock broker from the exchange, the clearing corporation / clearing house or other company or entity liable to make the payment and the client has fulfilled his/her/its obligations first.

The policy and procedure for settlement or shortages in obligations arising out of internal netting of trades is as under :

- a) The short delivering client is debited by an amount equivalent to 20% above of closing rate of day prior to payin/Payout Day. The securities delivered short are purchased from market on T+2 day and the purchase consideration (inclusive of all statutory taxes & levies) is debited to the short delivering seller client along with reversal entry of provisionally amount debited earlier.
  - b) If securities cannot be purchased from market due to any force majeure condition, the short delivering seller is debited at the closing rate on T+2 day or Auction day on Exchange +10% where the delivery is matched partially or fully at the Exchange Clearing, the delivery and debits/credits shall be as per Exchange Debits and Credits.
- g) **Conditions under which a client may not be allowed to take further position or the broker may close the existing position of a client** : We have margin based RMS system. Client may take exposure upto the amount of margin available with us. Client may not be allowed to take position in case of non-availability/shortage of margin as per our RMS policy of the company. The existing position of the client is also liable to square off/close out without giving notice due to shortage of margin/non making of payment for their payin obligation/outstanding debts.
- h) **Temporarily suspending or closing a client's account at the client's request** : On the request of the client in writing, the client account can be suspended temporarily and same can be activated on the written request of the client only. During the period client account is suspended, the market transaction in the client account will be prohibited. However client shares/ledger balance settlement can take place. On the request of the client in writing the client account can be closed provided the client account is settled. If the client wants to reopen the account in that case client has to again complete the KYC requirement.
- i) **Deregistering a client** : -Notwithstanding anything to the contrary stated in the agreement, the trading member shall be entitled to terminate the agreement with immediate effect client account can be de-registered.
- (i) If the action of the client are prima facie illegal/improper or such as to manipulate the price of any securities or disturb the normal/proper functioning of securities or disturb the normal/proper functioning of the market, either alone or in conjunction with others,
  - (ii) If there is any commencement of a legal process against the client under any law in force;
  - (iii) On the death/unacy or other disability of the Client;
  - (iv) If the client being a partnership firm, has any steps taken by the Client and/or its partners for dissolution of the partnership;
  - (v) If the Client suffers any adverse material change in his/her/its financial position or defaults in any other agreement with the Stock broker;
  - (vi) If there is reasonable apprehension that the Client is unable to pay its debts or the Client has admitted its inability to pay its debts, as they become payable;
  - (vii) If the Client is in breach of any term, condition or covenant of this Agreement;
  - (viii) If the Client has made any material misrepresentation of facts, including (without limitation) in relation to the Security;
  - (ix) If a receiver, administrator or liquidator has been appointed or allowed to be appointed of all or any part of the undertaking of the Client;
  - (x) If the Client have taken or suffered to be taken any action for its reorganization, liquidation or dissolution;
  - (xi) If the Client has voluntarily or compulsorily become the subject of proceedings under any bankruptcy or insolvency law or being a company, goes into liquidation or has a receiver appointed in respect of its assets or refers itself to the Board for Industrial and Financial Reconstruction or under any other law providing protection as a relief undertaking;
  - (xii) If any covenant or warranty of the Client is incorrect or untrue in any material respect;
- j) **Inactive Client account** : - Client account will be considered as inactive if the client does not trade for period of one year. Calculation will be done at the beginning of every month and those clients who have not traded even a single time will be considered as inactive, the shares/ credit ledger balance if any will be transferred to the client within one week of the identifying the client as inactive.

The client has to make written request for reactivation of their account.

Trading in Exchange is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. I/we understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt or any break down in our back office/front end system, or any such other problems/glitch whereby not being able to establish access to the trading system/network, which may be beyond your control and may result in delay in processing or not processing buy or sell Orders either in part or in full. I/We shall be fully liable and responsible for any such problem/fault.

- k) **Client Acceptance of Policies and Procedures stated hereinabove :-** I/We have fully understood the same and do hereby sign the same and agree not to call into question the validity, enforceability and applicability of any provision/clauses this document any circumstances what so ever. These Policies and Procedures may be amended / changed unilaterally by the broker. Provided the change is informed to me/us with through any one or more means or methods. I/We agree never to challenge the same on any grounds including delayed receipt / non receipt or any other reasons whatsoever. These Policies and Procedures shall always be read always be read along with the agreement and shall be compulsorily referred to while deciding any dispute / difference or claim between me / us and stock broker any court of law / judicial / adjudicating authority including arbitrator / mediator etc.



Signature of Client

### Disclosure Information (For Chandrakala Broking Services Pvt. Ltd.)

To,

\_\_\_\_\_

Sir,

This is to inform you that we do client based trading and Involved / Not-Involved in Pro-account trading in BSE, NSE

Thanks and best regards.

For : **Chandrakala Broking Services Pvt. Ltd.**

Authorised Signatory / Director

I acknowledge the receipt of information given above by Chandrakala Broking Services Pvt. Ltd. that they do Client base trading and not doing Pro-account trading.



Signature of Client

**TARIFF SHEET**  
**MANDATORY**

**BROKERAGE STRUCTURE**

**CASH SEGMENT**

Brokerage Slab	Slab %		Minimum	
	First Leg	Second Leg	First Leg	Second Leg
Delivery Based				
Daily Square Up				
Fixed Brokerage				

**DERIVATIVE SEGMENT : SLAB % FOR FUTURE**

Brokerage Slab	Future %		Minimum	
	First Leg	Second Leg	First Leg	Second Leg
Daily Square Up				
Settlement Square Up				

**DERIVATIVE SEGMENT : SLAB % FOR OPTIONS**

Brokerage Slab	Option %		Minimum per lot	
	First Leg	Second Leg	First Leg	Second Leg
Daily Square Up				
Settlement Square Up	Same as above		Same as above	
Service Tax				

**CHARGES**

	CM SEGMENT	F&O SEGMENT	CD SEGMENT
STT			
TOC			
STAMP DUTY			
OTHER CHARGES			
(i)			
(ii)			
(iii)			



Signature of Client





**Chandrakala Broking Services Pvt. Ltd.**  
Choraria Chowk, New Lane, Gangashahar-334 401, Bikaner, Rajasthan  
0151-2271692, 2270146 E-mail : info@chandrakalabroking.com

**TARIFF STRUCTURE FOR DEPOSITORY SERVICES W.E.F. 15.04.2021**

S.No.	Particulars	Charges (In INR)
1.	Annual Maintenance Charges (AMC)	
	a. Individual	
	SCHEME I : Annual AMC	Rs. 320/-*
	SCHEME II : Annual AMC (4th Year A)	Rs. 1000/-**
	SCHEME III : One Time AMC	Rs. 1695/-**
	b. Corporate	Rs. 999/-
2.	Transaction Charges	
	a. Purchase (On Market / Off Market)	FREE
	b. Sale (On Market / Off Market - Slip Transaction)	Min. Rs. 27 and Max. Rs. 100 (Subject to 0.03% of transaction value whichever is lower.
	c. Sale (POA)	Min. Rs. 17 and Max. Rs. 100 (Subject to 0.03% of transaction value whichever is lower.
3.	BSDA (Basic Service Demat Account) Transaction Charges	Rs. 34/- per transaction (POA) and Rs. 54/- per transaction (Non-POA)
4.	Delivery Instruction Slip Book	
	a. First DIS Book	FREE + Courier / Postage Charges
	b. Additional DIS Book	Rs. 20/- per book (10 Slips)+Courier/Postage Charges
5.	Additional Statement Charges (one Statement per month will be provided free of cost vide E-mail.)	
	a. by E-mail	FREE
	b. Physical	Rs. 5/- Page + Courier / Postage Charges
6.	Dematerialization	Rs. 10/- per certificate (Minimum Rs. 30/-) +Courier Postage Charge
7.	Rematerialization	Rs. 100/- or maximum 0.03% of transaction value whichever is higher.
8.	Instruction Failure / Demat Rejection Charges	Rs. 40/- per transaction.
9.	Pledge Creation / Closure / Invocation Charges	Rs. 20/- per ISIN.
10.	KRA Charges in account	Rs. 40/-
11.	Modification charges (Online / Offline)	FREE

(upto 10 certificates)

30 days\*

office will be                      Back office  
"Chandrakala                      "Chandrakala Broking                      Pvt. Ltd."  
"Chandrakala                      Ltd."                      Ltd."







**Declaration for availing / not availing of Basic Services Demat Account (BSDA) Facility**

To,

**Chandrakala Broking Services Pvt. Ltd.**

Choraria Chowk, New Lane, Gangashahar-334 401, Bikaner, Rajasthan

0151-2271692, 2270146 E-mail : info@chandrakalabroking.com

Dear Sir / Madam,

I / We do not wish to avail the BSDA facility for my new / existing Demat Account with you.I / We wish to avail the BSDA facility for the new account for which we have submitted my / our account opening form.I / We wish to avail the BSDA facility for my / our below mentioned existing Demat account number.**DP ID : 12092300****Client ID :**

	<b>Name</b>	<b>PAN</b>
<b>Sole/First Holder</b>		
<b>Second Holder</b>		
<b>Third Holder</b>		

I / We have read and understood the Securities and Exchange and Board of India's guidelines for opening a Basic Services Demat Account and undertake to comply with the aforesaid guidelines from time to time.

I / We hereby declare that I / We am / are eligible to open a depository account as a BSDA holder and undertake to comply with the requirements specified by Securities and Exchange Board of India or any such authority for such facility from time to time. I / We also understand that in case I / We at any point of time do not meet to eligibility as a BSDA holder, my / our aforesaid account is liable to be converted to regular account without further reference to me / us and will be levied charges as applicable to regular accounts as informed by the DP.

I, the first / sole holder also hereby declare that I do not have propose to have any other Demat account across depositories as a first / sole holder.

Signature



Sole / First Holder

Second Holder

Third Holder

**Acknowledgement Receipt**

Received Declaration for availing / not availing BSDA facility.

**DP ID : 12092300****Client ID :**

<b>Name</b>	
<b>Address</b>	

Date : \_\_\_\_ / \_\_\_\_ / 20\_\_

Depository Participant Seal and Signature



### BSE Star MF Client Registration Form

To,

**Chandrakala Broking Services Pvt. Ltd.**

Date : \_\_\_\_/\_\_\_\_/20\_\_\_\_

Choraria Chowk, New Lane, Gangashahar-334 401, Bikaner, Rajasthan

0151-2271692, 2270146 E-mail : info@chandrakalabroking.com

Sir,

#### Sub : BSE Star MF Client Registration Form

I / We \_\_\_\_\_ am / are registered as your client with  
Client Code No. \_\_\_\_\_ in BSE (Whichever applicable).

I / We am / are interested in availing the BSE Star MF facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE Star MF of the Exchange.

For the purpose of availing the BSE Star MF facility, I / We state that know your client details as submitted by me / us for the stock broking may be considered for the purpose of BSE Star MF and I / We further confirm that the details contained in same remain unchanged as on date.

I / We are willing to abide by the terms and conditions as mentioned in the BSE circular dated December 2, 2009 and as may be specified by the Exchange from time to time in this regard.

I / We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I / We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I / We choose to subscribe / redeem. I / we further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Scheme.

I / We therefore request you to register me / us as your client for participating in the BSE Star MF.

Thanking You,  
Yours Faithfully,

Client Signature :  \_\_\_\_\_

Client Name : \_\_\_\_\_

Client Code : \_\_\_\_\_

## RUNNING ACCOUNT AUTHORISATION VOLUNTARY

To,  
**Chandrakala Broking Services Pvt. Ltd.**

Regd. Office : Choraria Chowk, New Lane  
Gangashahar, Bikaner 334 401, Rajasthan.

I/We are dealing through you as a client in Capital Market and/or Future & Option segment in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

- 1) I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of the Exchange/Clearing corporation unless I/we instruct you otherwise.
- 2) I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of the Exchange/Clearing corporation, unless I/We instruct you to transfer the same to my/our account.
- 3) I/We request you to settle my fund and securities account / Once in every calendar Quarter or / Once in a calendar Month or such other higher period as allowed by SEBI/Stock Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
- 4) In case I/We have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
- 5) I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/securities statement of account or statement related to it, as the case may be at your registered office. After that I/We shall have no right to dispute the transaction, funds and/or securities ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds and/or securities.
- 6) I/We confirm you that I can revoke the above mentioned authority anytime by giving notice in writing to you.
- 7) I/We shall be liable for all losses, damages and action which may arise as a consequence of your adhering to carrying out my/our directions given about and further agree that you shall not be liable for any claim for loss or profit or any consequential incidental, special or exemplary, damages, caused by retention of securities/monies under this agreement.

Thanking you,  
Yours faithfully

Signature



Client Name : .....

Client Code : .....

Date : .....

## AUTHORISATION FOR ELECTRONIC CONTRACT NOTE VOLUNTARY

To,  
**Chandrakala Broking Services Pvt. Ltd.**

Regd. Office : Choraria Chowk, New Lane  
Gangashahar, Bikaner 334 401, Rajasthan.

I/We have been/shall be dealing through you as my/our broker on the Capital Market and/or Futures & Options Segment.

I/We understand that, I/We have the option to receive the contract notes in physical form or electronic form. In pursuance of the same, I/We hereby opt for receipt of contract notes in electronic form. I/We understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account(s)/email id on your record for sending the contract notes to me/us.

1. ....
2. ....

I/We also agree that non-receipt of bounced mail notification by you shall amount to delivery at my/our email account(s)/email id(s).

I/We hereby further authorise you to send us account statement, bills, and other notices and statements periodically through the same channel.

I/We agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I/We agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me/us and such dispatch shall be deemed to mean receipt by me/us and shall not be disputed by me/us on account of any nonreceipt delayed receipt for any reason whatsoever.

I/We understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I/We am/are an internet client then in that event the request for change in e-mailid/e-mail Account can be made by me/us through secured access using client surface user Id and password please treat this authorisation as written rectification of my/our verbal directions/authorization given and carried out by you earlier.

I/We shall be liable for all losses damages and action which may arise as a consequence of your adhering to and carrying out my / our direction given above.

Thanking you

Your's Faithfully

Client's Name: .....

Client Code: .....

Date : .....



.....

Signature of Client

## LETTER OF AUTHORITY VOLUNTARY

To,

**Chandrakala Broking Services Pvt. Ltd.**

Regd. Office : Choraria Chowk, New Lane  
Gangashahar, Bikaner 334 401, Rajasthan.

Dear Sir,

Sub: Letter of Authority-CASHIF&O Segment of BSE NSE

I/We are dealing in securities with you at BSE NSE in Cash, Derivative Segment and in order to facilitate ease of operations,  
I/We authorise you as under:

- 1) I/We authorise you to setoff outstanding (as per adjustment of balances in family account authority letter) in any of our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange or against the value of cash margin or collateral shares provided to you by us. (I/We here by authorise you to interese transfer or hold funds, securities, debits, credits etc. of my / our family members accounts.
- 2) I/We hereby authorise you not to provide me Order Confirmation / Modification / Cancellation Slips and Trade Confirmation Slips to avoid unnecessary paper work. I/We shall get the required details from contract notes issued by you.
- 3) I/We hereby authorise you to keep all the securities which we give you in margin including the payout of securities received by us for meeting margin / other obligation in stock exchange in whatever manner which may include pledging of shares in favour of bank and / or taking loan against the same for meeting margin/ pay - in obligation on our behalf or for giving the same as margin to the Stock Exchange or otherwise.
- 4) I/We request you to retain credit balance in any of my/our account and to use the idle funds towards our margin/ future obligations of Exchange unless I/We instruct you otherwise. I/We also authorize you to debit the necessary demat charge from time to time, for keeping the shares in your client demat beneficiary account on my/our behalf. I/We also authorise you to debit the delay payment charges upto @ 2% p.m. for the debit balances if any, in my/our account and not settled as per the exchange requirements.
- 5) I/ We request you to retain Securities in your Demat account for my/ our margin/future obligations of Exchange unless I/We instruct you to transfer the same to my/our account.
- 6) I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give me/us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.
- 7) I/We will collect from your office Contract Notes, Bills, Securities, etc. as per our convenience.
- 8) I/We request that you may send/despach me/us contract notes other documents like financial ledgers, margin statements, Beneficiary Stocks etc., through E-mail on my/our designated e-mail address of ..... We will completely rely on the log reports of your despatching software as a conclusive proof of dispatch of e-mail to me/us and will not dispute the same.
- 9) I/We will inform you the change of my/ our my e-mail, if any, in future either by regd. post or through a digitally signed e-mail.
- 10) Trading of all Exchanges is in Electronic Mode, based on VSAT, leased line, ISDN, Modem and VPN, combination of technologies and computer systems to place and route orders. We understand that there exists a possibility of communication failure or system problems or slow or delayed response from system or trading hall, of any such other problem/glitch whereby not being able to establish access to the trading system/ network, which may be beyond your control and may result in delay in processing or not processing buy or sell Orders either in part or in full. I/We agree that I/ We shall be fully liable and responsible for any such problems / fault.



- 11) I/We confirm that I/we will never sublet the trading terminal on any term of connectivity, from my/our place to any other place without your prior approval.
- 12) I/We am/are agreeable for inter-settlement transfer of securities towards settlements.
- 13) I/We am/are agreeable for & authorise you to with hold funds pay-out towards all the applicable margins and debits.
- 14) All fines/penalties and charges levied upon you due to my acts/deeds or transactions may be recovered by you from my account.
- 15) I/We hereby confirm that we have read & understood the provisions of prevention of money laundering Act and will comply with these provisions.

Yours faithfully,




Signature of Client

Date : .....

## INTERNET TRADING LETTER VOLUNTARY

To,

**Chandrakala Broking Services Pvt. Ltd.**

Regd. Office : Choraria Chowk, New Lane  
Gangashahar, Bikaner 334 401, Rajasthan.

Dear Sir,

We wish to trade through internet on BSE, NSE and confirm that we are fully aware of and understand the risks associated with availing of a service of routing orders through internet including the risk of misuse and unauthorized use of our Username and or Password by a third party and the risk of a person hacking into our account on your ITORS system and unauthorisedly routing order on behalf of us through the System. We agree that we shall be fully liable and responsible for any and all unauthorized use and misuse of our Password and/or Username and also for any and all acts done by any person through your ITORS system on our Username in any manner whatsoever.

We hereby confirm you to send our Username and Password on the below mentioned e-mail address.

E-mail Address: .....

Thanks and best regards

Signature :




Name : ..... Trading Code : .....

Date : .....

## DECLARATION FOR MOBILE NO. VOLUNTARY

I, ..... having PAN No. .... do hereby declare that my mobile no. is ..... Further, I authorize Chandrakala Broking Services Pvt. Ltd. that the same may be used for giving me any information / alert / sms / call.

I further declare the above mentioned statement is true and correct.



Signature of Client

Name : .....

Client Code : .....

Date : .....

## CLIENT DEFAULTER DECLARATION

I, ..... having PAN No. .... do hereby declare that I have not been involved in any terrorist activity and I have not been declared as defaulter or my name is not appearing in defaulter database as per SEBI / Various Exchange / Regulatory bodies / CIBIL (Credit Information Bureau of India Ltd.) etc. I further declare that the above mentioned declaration / statement is true and correct.



Signature of Client

Name : .....

Client Code : .....

Date : .....

[Note : To be signed by person himself / herself not to be signed by his/her attorney/authorised person etc.]

# CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

## Important Instructions:

- A) Fields marked with \* are mandatory fields.  
B) Please fill the form in English and in BLOCK letters.  
C) Please fill the date in DD/MM/YYYY format.  
D) Please read section wise detailed guidelines / instructions at the end.

- E) List of State (U.T) code as per Indian Motor Vehicle Act, 1988 is available at the end.  
F) List of two character ISO 3166 country codes is available at the end.  
G) KYC number of applicant is mandatory for update application.  
H) For particular section update, please tick (✓) in the box available before the section number and enter the section not required to be updated.



## For office use only

(To be filled by financial institutions)

Application Type\*

☐ New

☐ Update

KYC Number

(Mandatory for KYC update request)

Account Type\*

☐ Normal

☐ Simplified (for low risk customers)

☐ Small

## 1. PERSONAL DETAILS (Please refer instruction A at the end)

	First Name	Middle Name	Last Name
Name* (Same as ID proof)			
Maiden Name (if any)			
Father / Spouse Name*			
Mother Name*			
Date of Birth*			
Gender*	<input type="checkbox"/> M- Male <input type="checkbox"/> F- Female <input type="checkbox"/> T- Transgender		
Marital Status*	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others		
Citizenship*	<input type="checkbox"/> IN- Indian <input type="checkbox"/> Others (ISO 3166 Country Code )		
Residential Status*	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin		
Occupation Type*	<input type="checkbox"/> S-Service ( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector ) <input type="checkbox"/> O- Others ( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student ) <input type="checkbox"/> B- Business <input type="checkbox"/> X- Not Categorized		

**PHOTO**

Signature / Thumb Impression

## 2. TICK IF APPLICABLE ☐ RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

### ADDITIONAL DETAILS REQUIRED\* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence\*

Tax Identification Number or equivalent (if issued by jurisdiction)\*

Place / City of Birth\*

ISO 3166 Country Code of Birth\*

## 3. PROOF OF IDENTITY (PoI)\* (Please refer instruction C at the end)

(Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted)


<input type="checkbox"/> A- Passport Number	Passport Expiry Date
<input type="checkbox"/> B- Voter ID Card	
<input type="checkbox"/> C- PAN Card	
<input type="checkbox"/> D- Driving Licence	Driving Licence Expiry Date
<input type="checkbox"/> E- UID (Aadhaar)	
<input type="checkbox"/> F- NREGA Job Card	
<input type="checkbox"/> Z- Others (any document notified by the central government)	Identification Number
<input type="checkbox"/> S- Simplified Measures Account - Document Type code	Identification Number

## 4. PROOF OF ADDRESS (PoA)\*

### ☐ 4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address (PoA) needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID (Aadhaar)		
	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others		
	<input type="checkbox"/> Simplified Measures Account - Document Type code				
Address					
Line 1*					
Line 2					
Line 3					
District*	Pin / Post Code*	City / Town / Village*	State / U.T Code*	ISO 3166 Country Code*	

<b>4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS*</b> (Please see instruction E at the end)			
<input type="checkbox"/> Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill Annexure A1)			
Line 1*			
Line 2			
Line 3			
District*	Pin / Post Code*	State / U.T Code*	City / Town / Village* ISO 3166 Country Code*
<b>4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES*</b> (Applicable if section 2 is ticked)			
<input type="checkbox"/> Same as Current / Permanent / Overseas Address details		<input type="checkbox"/> Same as Correspondence / Local Address details	
Line 1*			
Line 2			
Line 3			
State*	ZIP / Post Code*	City / Town / Village*	ISO 3166 Country Code*
<b>5. CONTACT DETAILS</b> (All communications will be sent on provided mobile no. / e-mail ID) (Please refer instructions F at the end)			
Tel	Tel. (Res)	Mobile	
FAX	Email ID		
<b>6. DETAILS OF RELATED PERSON</b> (In case of additional related persons, please fill Annexure B1) (Please refer instruction G at the end)			
<input type="checkbox"/> Addition of Related Person		<input type="checkbox"/> Deletion of Related Person	
Related Person Type*		KYC Number of Related Person (if available)	
<input type="checkbox"/> Guardian of Minor		<input type="checkbox"/> Assignee	
<input type="checkbox"/> Authorized Representative			
Name*	First Name	Middle Name	Last Name
(If KYC number and name are provided, below details of section 6 are optional) eL (Off)			
<b>PROOF OF IDENTITY (P.O.) OF RELATED PERSON*</b> (Please see instruction (H) at the end)			
<input type="checkbox"/> A- Passport Number		Passport Expiry Date:	
<input type="checkbox"/> B- Voter ID Card			
<input type="checkbox"/> C- PAN Card			
<input type="checkbox"/> D- Driving Licence		Driving Licence Expiry Date:	
<input type="checkbox"/> E- UID (Aadhaar)			
<input type="checkbox"/> F- NREGA Job Card			
<input type="checkbox"/> Z- Others (any document notified by the central government)		Identification Number	
<input type="checkbox"/> S- Simplified Measures Account - Document Type code		Identification Number	
<b>7. REMARKS (if any)</b>			
<b>8. APPLICANT DECLARATION</b>			
• I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.			 Signature / Thumb Impression of Applicant
• I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.			
Date :		Place :	
<b>9. ATTESTATION / FOR OFFICE USE ONLY</b>			
Documents Received <input type="checkbox"/> Certified Copies			
KYC VERIFICATION CARRIED OUT BY		INSTITUTION DETAILS	
Date		Name	
Emp. Name		Code	
Emp. Code			
Emp. Designation			
Emp. Branch			

## CENTRAL KYC REGISTRY | Instruction / Check list / Guidelines for filling Individual KYC Application Form

### General Instructions:

1. Fields marked with "\*" are mandatory fields.
2. Tick "✓" wherever applicable.
3. Self-Certification of documents is mandatory.
4. Please fill the form in English and in BLOCK Letters.
5. Please fill all dates in DD-MM-YYYY format.
6. Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
7. KYC number of applicant is mandatory for updation of KYC details.
8. For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
9. In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

### A. Clarification / Guidelines on filling 'Personal Details' section

1. **Name** : Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of identity submitted failing which the application is liable to be rejected.
2. Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

### B. Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

### C. Clarification / Guidelines on filling 'Proof of Identity [PoI]' section

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
3. In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and underlined relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

### D. Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and underlined relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill.)
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India.

### E. Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, Please fill 'Annexure A1'

### F. Clarification / Guidelines on filling 'Contact details' section

1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add '0' in the beginning of Mobile number.

### G. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person if available.

### H. Clarification / Guidelines on filling 'Related Person details - Proof of Identity [PoI] of Related Person' section

1. Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

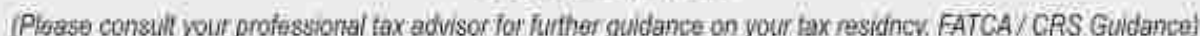


List of two - digit state / U.T. codes as per Indian Motor Vehicle Act, 1988

State / U.T.	Code	State / U.T.	Code	State / U.T.	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

## List of ISO 3166 two - digit Country Code

[illegible]



31

Details	UB01	UB02	UB03
Name of UBO			
UBO Code (Refer 3 (iv) (A) of Part C)			
Company of Tax residency*			
PAN*			
Address :	Zip <input type="text"/> State : ..... Country : .....	Zip <input type="text"/> State : ..... Country : .....	Zip <input type="text"/> State : ..... Country : .....
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Tax ID*			
Tax ID* Type			
City of Birth			
Country of Birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others .....	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others .....	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others .....
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other
Date of Birth			
Percentage of Holding (%)			

\* To include US, where controlling person is a US Citizen or green card holder.

If UBA is KYC complaint, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust.

Protector of Trust to be specified wherever applicable.

In case Tax Identification Number is not available, kindly provide functional equipment.

\$ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary.

#### DECLARATION

I have read and understood the information requirements and the Terms & conditions mentioned in this Form (read alongwith FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform M/s Chandrakala Broking Services Pvt. Ltd. for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name

Designation



Signature of Client

Date :

Place :

**[Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/23 dated February 24, 2022 on Nomination for Eligible Trading and Demat Accounts – Extension of timelines and relaxations for existing account holders]**

The Trading Member/ Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

### Declaration Form for opting out of nomination

**[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]**

To	Date	D	M	Y	M	D	Y	M	D
Trading Member/Participant's Name									
Trading Member/Participant's Address									
UCC/DP ID	1	2	0	9	2	3	0	0	
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
<p>I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.</p>									
<b>Name and Signature of Holder(s)*</b>									
<div style="display: flex; justify-content: space-between; margin-top: 20px;"> <span>1. _____</span> <span>2. _____</span> <span>3. _____</span> </div>									

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature



## Annexure A

### Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.















## Demat Debit and Pledge Instruction ("DDPI")

I/We agree to the terms and purpose of this DDPI document between Mr./Ms. \_\_\_\_\_ (First Holder) \_\_\_\_\_ (Second holder) \_\_\_\_\_ (Third holder); hold a Beneficiary account no.12092300 \_\_\_\_\_ (BO-ID) and UCC \_\_\_\_\_ an individual/ body of individuals/a sole proprietary concern/a partnership firm/a body corporate/trust, registered/incorporated, under the provisions of the Indian Partnership Act, 1956/the Companies Act 1956, or any relevant Act or unregistered in nature; and CHANDRAKALA BROKING SERVICES PVT. LTD., (hereinafter referred to as "Chandrakala"), a Company within the meaning of Companies Act, 1956 with its registered office at Regent Chamber, CHORARIA CHOWK, NEW LANE, GANGASHAHAR, BIKANER - 334401, Bearing SEBI registration No. IN-DP-577-2021.

Whereas:

- (a) I/We have established a business relationship with CHANDRAKALA BROKING SERVICES PVT. LTD., to avail services w.r.t trading, investing & other services offered by CHANDRAKALA BROKING SERVICES PVT. LTD., bearing SEBI registration No. INZ-000240039.
- (b) This DDPI document shall be in line with SEBI Circular no. SEBI/HO/MIRSD/DOP/P/CIR/2022/44 dated April 04, 2022, as may be updated from time to time, & I agree to the below four points.

S.No	Purpose	Signature of Client		
		First Holder	Second Holder	Third Holder
1.	I/We agree and permit Chandrakala to transfer any securities held in my beneficial owner account towards any Exchange (any SEBI Recognised Exchanges where Chandrakala is a member) related deliveries / settlement obligations arising out of trades executed by me/us on the Exchanges through Chandrakala;			
2.	I/We agree and confirm to allow pledging / re-pledging of securities in favour of Chandrakala and the clearing member (CM) with whom Chandrakala is a member of, for the purpose of meeting my/our margin requirements in connection with the trades executed by me/us on the Exchanges.			
3	I/We agree and confirm to allow Mutual Fund transactions being executed on StockExchange order entry platforms.			
4	I/We agree and confirm to allow Tendering shares in open offers through StockExchange platforms			

## Annexure B


Dated on this \_\_\_\_\_ day of \_\_\_\_\_




Witness:

Signature: \_\_\_\_\_

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_